

PTO/SB/01 (12-97)

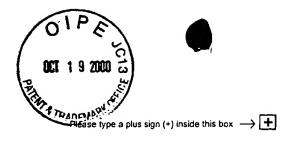
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DECLARATION FOR UTILITY OR			Attorney Docket Nu	mber	96700/488			
DECEMINA		ESIGN	First Named Invento	r	Nancy Carrasco			
PATENT APPLICATION (37 CFR 1.63)			COMPLETE IF KNOWN					
			Application Number	09/5	19,959			
☐ Declaration		☑ Declaration	Filing Date	Marc	ch 7, 2000			
Submitted with Initial	OR	Submitted after Initial	Group Art Unit					
Filing		Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name					

As a below named invento	I hereby declars the								
My residence, post office ad									
I believe I am the original, fir names are listed below) of the	st and sole inventor (if o	nly one name is listed below	v) or an original,	first and joint in	ventor (if olura)				
METHODS FOR THE DIAGNOSIS AND TREATMENT OF BREAST CANCER									
the specification of which		itle of the Invention)							
is attached hereto	(**	ue or the invention)							
OR was filed on (MM/DDo	YYY) 03/07/2000								
	(03/07/2000	as Unite	ed States Applic	ation Number or	PCT International				
Application Number 09/51		was amended on (MM/DD/Y	m) [(if applicable).				
I hereby state that I have revie amended by any amendment	and and understand the		tified specification	on, including the	claims as				
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I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.									
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or fany PCT international application having a filing date before that of the application on which priority is claimed.									
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Co	ppy Attached?				
					NO				
			H						
		,		<u> </u>					
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto: I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.									
Application Number(s)	Filing Date	(MM/DD/YYYY)	application(s) lis	ted below.					
			Additio	nal provisiona rs are listed o	Lapplication				

[Page 1 of 2]
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96700/488 **Attorney Docket Number DECLARATION FOR UTILITY OR Nancy Carrasco** First Named Inventor **DESIGN COMPLETE IF KNOWN** PATENT APPLICATION (37 CFR 1.63) Application Number 09/519,959 March 7, 2000 Filing Date □ Declaration ☑ Declaration OR Submitted after Initial Submitted Group Art Unit Filing (surcharge (37 CFR 1.16 (e)) with Initial Filing Examiner Name required)

As a below named inventor, I hereby declare that:										
My residence, post office	address, and citizenship are	as stated below next to m	y name.		•					
	first and sole inventor (if onl									
names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHODS FOR THE DIAGNOSIS AND TREATMENT OF BREAST CANCER										
the specification of which (Title of the Invention) is attached hereto OR										
	03/07/2000	as Unite	ed States Applica	ition Number or P	CT International					
Application Number 09/5	519,959 and w	as amended on (MM/DD/)	YYY)		(if applicable).					
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.										
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.										
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Cop	y Attached? NO					
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☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:										
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.										
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DECLARATION — Utility or Design Patent Application

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Additional	registere	d practitioner(s	s) nam	ed o	n supp	lement	al Registere	d Pract	itioner I	Inforn	nation sh	eet PTO	/SB/02	C attached he	reto.
Direct all con	respond	ence to:		-	er Nu Code I						OR	☑ c	orresp	oondence add	dress below
Name	Craig	J. Arnold	J. Arnold, Esq.												
Address	Ams	ter, Roths	tein	& E	Eben	steir	1			-					
Address	90 Pa	ark Avenu	е												
City	New	York						St	ate	NY		ZIP 10016			
Country	U.S.A	۸.			Te	elepho	ne (212) 697	-599	5		Fax	(21	2) 286-08	54
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Gi	ven Nar	ne (first and	middl	e (if	anvl)	_					Family	/ Name	or Su	ımame	<u>-</u>
Nancy		Tame (first and middle (if any)) Carrasco Family Name or Surname													
Inventor's Signature		<u> </u>		2	مر									Date	10/18/00
Residence: C	City	New York	k			State	NY	Country U.S.A.				Citizenship	Mexican		
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Post Office A	ddress														-
City		New Yor	k sta	ite	NY		ZIP	10	024			Cour	ntry	U.S.A.	
Additional	invento	rs are being	name	d on	the	1 511	nnlement	al Addi	itional I	inver	ntor(s) e	heet/e\	PTO/	SB/02A attac	had herete

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

Name of Addition	onal Joint Inventor, if	any:			A petiti	ion has beer	filed for the	nis unsigne	d inventor		
Given N	ame (first and middle [if a	ny])		Family Name or Surname							
Orsolya	///			Do	han				·		
Inventor's Signature				<u> </u>				Date	10/18/00		
Residence: City	Bronx	State	NY		Country	U.S.A.		Citizenship	Hungarian		
Post Office Address	1579 Rhinelander	Ave., A	pt. 4-C						,		
Post Office Address											
City	Bronx	State	NY		ZIP	10461	Country	U.S.A.			
Name of Addition	nal Joint Inventor, if a	any:	<u> </u>		A petition	on has been	filed for th	s unsigned	inventor		
Given Na	me (first and middle [if an	ıy])				Family	Name or S	umame			
Uygar H.	Tazebay										
Inventor's Signature	Un (7				Date	10.5.00				
Residence: City	Bronx ANKARA	State	HY '		Country	U.S.A . ⁻	rurkey	(1) Citizenshi			
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City	Bronx ANKARA	A State	₩¥	ᄱ	ZIP	1048 153	3 Count	ry U.S.	A. TURKEY		
Name of Addition	nal Joint Inventor, if a	ny:			A petitio	n has been	filed for this	unsigned	inventor		
Given Nar	Given Name (first and middle [if any]) Family Name or Surname										
Inventor's Signature								Date			
Residence: City	State Country Citizenship						,				
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United States information w	or PCT I	efit under 35 U.S.C. rica, listed below and nternational applicati aterial to patentabilit T international filing c	on in	the manner pro	vided by t	r or ea	ich oi	the claims of	this appl	lication	is not disclos	ed in the prid
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and Trademark	ventor, i i COffice c	nereby appoint the fo onnected therewith:	llowi	ng registered pra Customer Numb	actitioner(s	s) to pr	osecu	te this applicat	ion and t	to trans	sact all busines Place Cus	
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Daniel S. Ebens Kenneth P. Geo Philip H. Gottfri	Michael J. Berger 25,829 Daniel S. Ebenstein 24,932 Kenneth P. George 30,259 Philip H. Gottfried 25,871 Abraham Kasdan 32,997						Ira E. Leona Neil M				33,785 33,211 27,476 34,287	
Additional	registere	d practitioner(s) name	ed or	29.403 supplemental f	Registered	Practi	itioner	Information sh	eet PTO	/SB/02	C attached he	reto.
	☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto. Direct all correspondence to: ☐ Customer Number or Bar Code Label ☐ OR ☑ Correspondence address below											
Name	Craig J. Arnold, Esq.											
Address	Amster, Rothstein & Ebenstein											
Address	90 Pa	rk Avenue				-						
City	New '					Sta	State NY ZIP			100	016	-
Country	U.S.A			Telephone	_				Fax		2) 286-08	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.												
Name of So	Name of Sole or First Inventor:											
Given Name (first and middle (if anyl) Family Name or Surname												
Irene L. Wapnir												
Inventor's Signature		noue 1	<u>//</u>	10 mi	i						Date	
Residence: City Stanford CA State					Country U.S.A. Cittzenship U.S.					U.S.		
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City		Stanford Stat	Ç.A	V., 4	ZIP	· 9	430)5 - 565	5 Coun	itry	U.S.A.	
Additional in	nventor	s are being named	on	thesupp	lemental						SB/02A attac	hed hereto





Patent Attorney's Docket No. <u>96700/488</u>

Applicant or Patentee: Nancy Carrasco, Orsolya Dohan, Uygar H. Tazebay, and Irene L. Wapnir
Application or Patent No.: 09/519,959
Filed or Issued: March 7, 2000
For: METHODS FOR THE DIAGNOSIS AND TREATMENT OF BREAST CANCER
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. §§ 1.9(f) AND 1.27(d)) - NONPROFIT ORGANIZATION
I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:
NAME OF NONPROFIT ORGANIZATION <u>University of Medicine and Dentistry of New Jersey</u>
ADDRESS OF NONPROFIT ORGANIZATION 335 George Street, P.O. Box 2688
New Brunswick, New Jersey 08903
TYPE OF NONPROFIT ORGANIZATION:
 [X] University or other institution of higher education [] Tax exempt under Internal Revenue Service Code (26 U.S.C. § § 501(a) and 501(c)(3)) [] Nonprofit scientific or educational under statute of state of The United States of America (Name of state
(Name of state) (Citation of statute)
hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:
 [] the specification filed herewith with title as listed above. [X] the application identified above. [] the patent identified above.
hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the Inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small pusiness concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).
Each person, concern or organization having any rights in the invention is listed below: [] no such person, concern, or organization exists. [X] each such person, concern, or organization is listed below

Albert Einstein College of Medicine of Yeshiva University

-1-

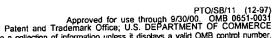




I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

SIGNATURE Onstyle	DATE 9/26/02
	New Brunswick, New Jersey 08903
ADDRESS OF PERSON SIGNING	335 George Street, P.O. Box 2688
TITLE IN ORGANIZATION	Vice President for Research
NAME OF PERSON SIGNING	L.M. Stephenson, Ph.D.





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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(d))NONPROFIT ORGANIZATION	Docket Number (Optional) 96700/488					
Applicant, Patentee, or Identifier: Nancy Carrasco, Orsolya Dohan, Uygar H. Tazebay, and Application or Patent No.: 09/519,959 Filed or Issued: Filed: March 7, 2000 Title: METHODS FOR THE DIAGNOSIS AND TREATMENT OF BREAST CANCER	Irene L. Wapnir					
I hereby state that I am an official empowered to act on behalf of the nonprofit organization idea NAME OF NONPROFIT ORGANIZATION Albert Einstein College of Medicine ADDRESS OF NONPROFIT ORGANIZATION 1300 Morris Park Avenue, Bronx						
TYPE OF NONPROFIT ORGANIZATION: UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION						
TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501	(c)(3))					
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■ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE STATES OF AMERICA (NAME OF STATE (CITATION OF STATUTE						
I hereby state that the nonprofit organization identified above qualifies as a nonprofit organ 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regain:	ization as defined in 37 CFR					
 □ the specification filed herewith with title as listed above. □ the application identified above. □ the patent identified above. 						
I hereby state that rights under contract or law have been conveyed to and remain wit regarding the above identified invention. If the rights held by the nonprofit organization are no concern, or organization having rights in the invention must file separate statements as to their that no rights to the invention are held by any person, other than the inventor, who would not qualify under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).	status as small entities and					
Each person, concern, or organization having any rights in the invention is listed below:						
☐ no such person, concern, or organization exists. ☑ each such person, concern, or organization is listed below.						
University of Medicine and Dentistry of New Jersey	to etatus reculting in loss of					
I acknowledge the duty to file, in this application or patent, notification of any change entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issuedue after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))	tee or any maintenance rec					
NAME OF PERSON SIGNING Emanuel Genn						
TITLE IN ORGANIZATION OF PERSON SIGNING Associate Dean for Business A	mairs					
ADDRESS OF PERSON SIGNING 300 Morris Park Avenue, Bronx, NY, 10461						
SIGNATURE DATE DATE						

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